



SME Instrument

State of play

11th Programme Committee

SMEs and Access to Risk Finance '

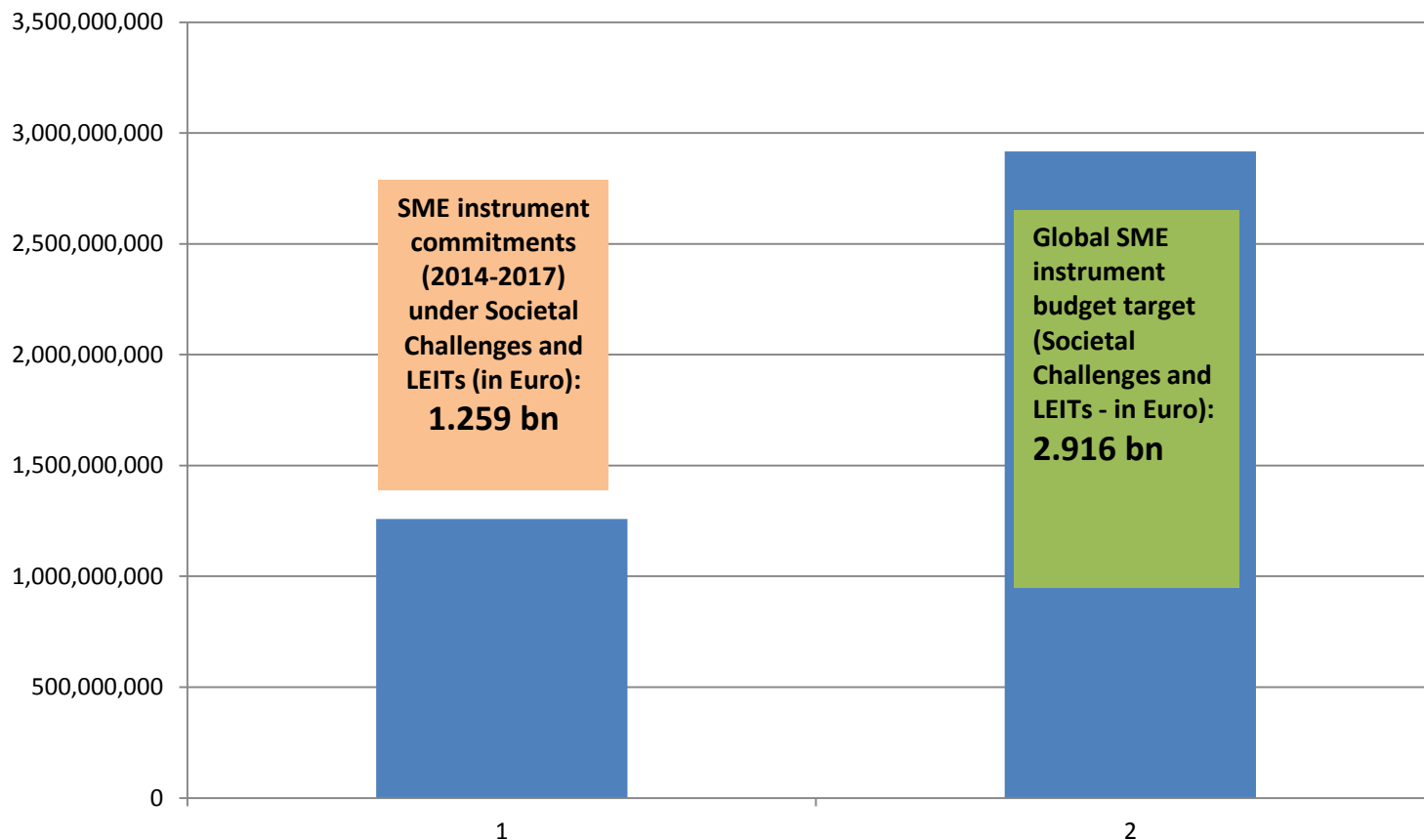
Brussels, 8 June 2016

SME participation in Horizon 2020

(status end of May 2016)

- SMEs are **27.41%** of all project participants and take **23.76%** of the EU budget contribution
- SME Instrument Budget allocation (of combined budgets SC & LEITs)
 - =>2014-2015 = **5.30%** (EUR 519,9 million)
 - =>2016-2017 = **7.53%** (EUR 790,9 million)

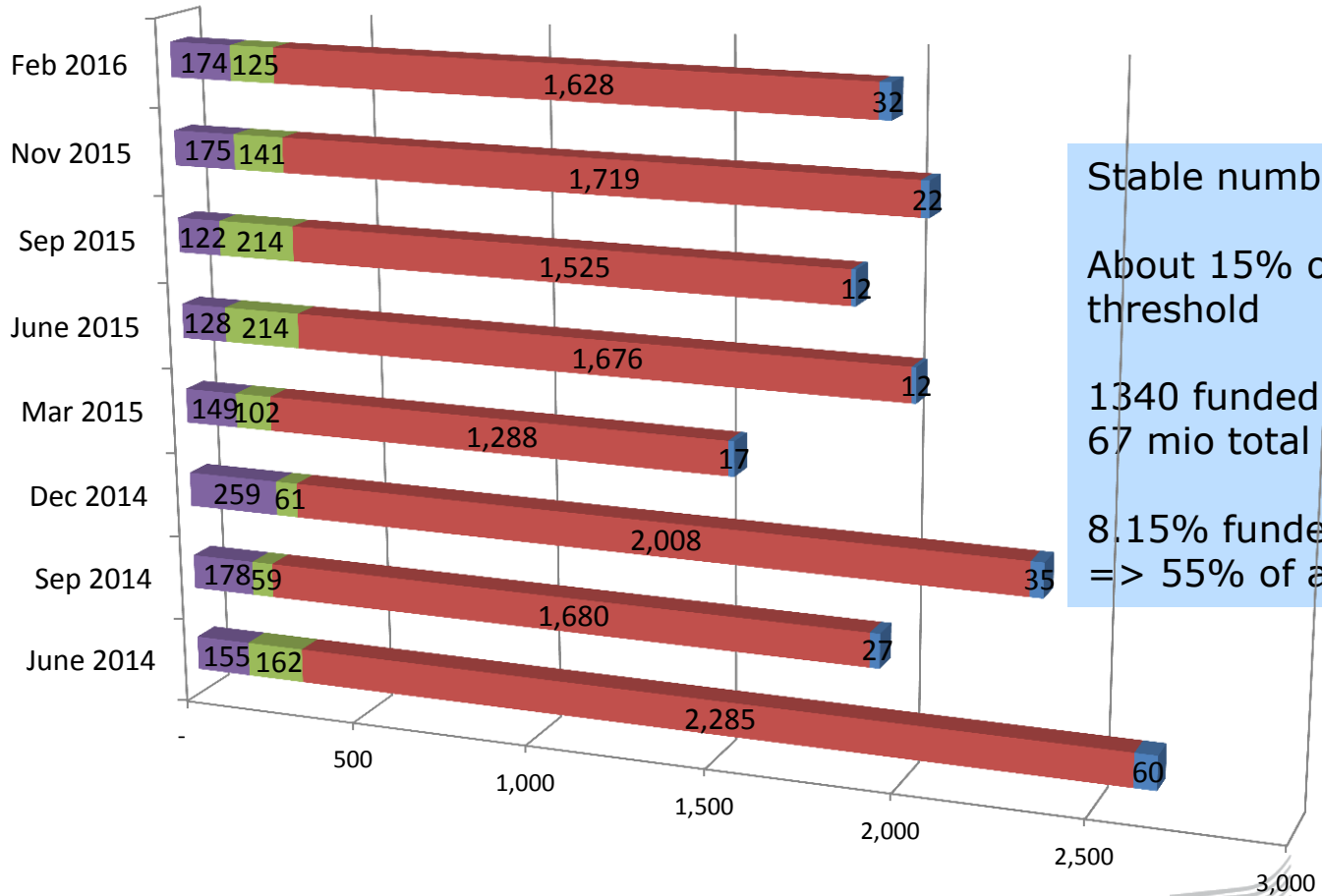
Horizon 2020 SME instrument – Budget committed (2014-2017) vs. overall target



- Expected progress-to-H2020-SME-instrument-budget-target end of 2017: **43.17%**
- Average budget allocated via SME instrument under Societal Challenges and LEITs in 2014-2017: **6.25%**
- **Over EUR 1.5 billion** in last three years of implementation in order to **reach the 7%**

Phase 1 – a very fast uptake by SMEs

■ Funded Proposals
 ■ Proposals Below Budget
 ■ Not Funded Proposals
 ■ Non Eligible Proposals



Stable number of proposals
 About 15% of proposal above threshold
 1340 funded projects
 67 mio total grants
 8.15% funded
 => 55% of above threshold

Phase 1- Last cut- off (Feb 2016)

1959 proposals

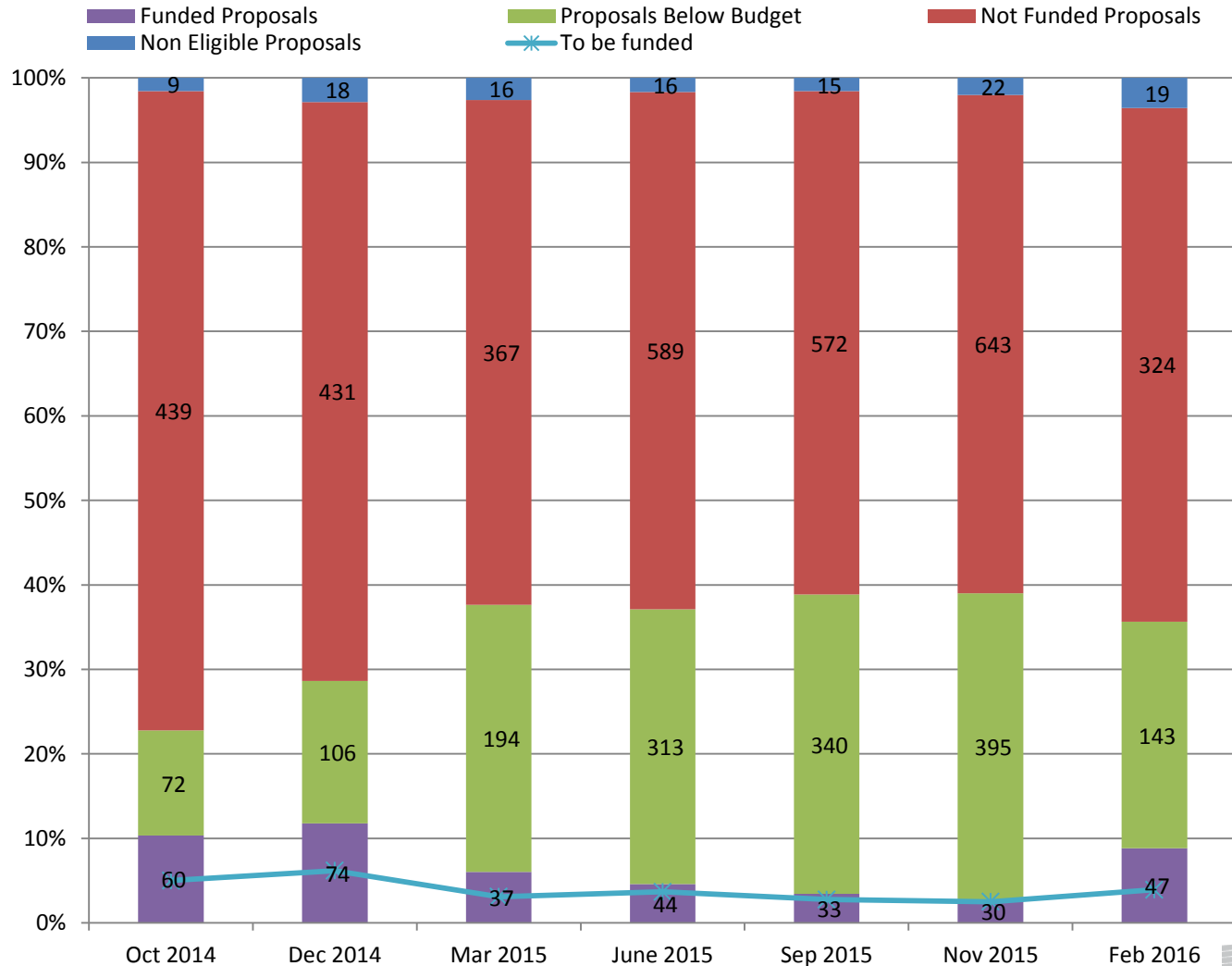
174 funded proposals = > €8.7 Mo EU contribution

189 supported SMEs
- 18 from Associated countries

Success rate of 8.9%

Country repartition: Denmark, NL, Estonia, Portugal and Sweden

Phase 2 – a consistent uptake by SMEs



A consistent trend

Overall results:
 325 funded projects
 541.74 mio total grants

6.05% of proposals funded = 16% of above threshold

Phase 2 last cut-off (Feb 2016)

553 proposals

47 retained for funding => €73 Mo EU contribution

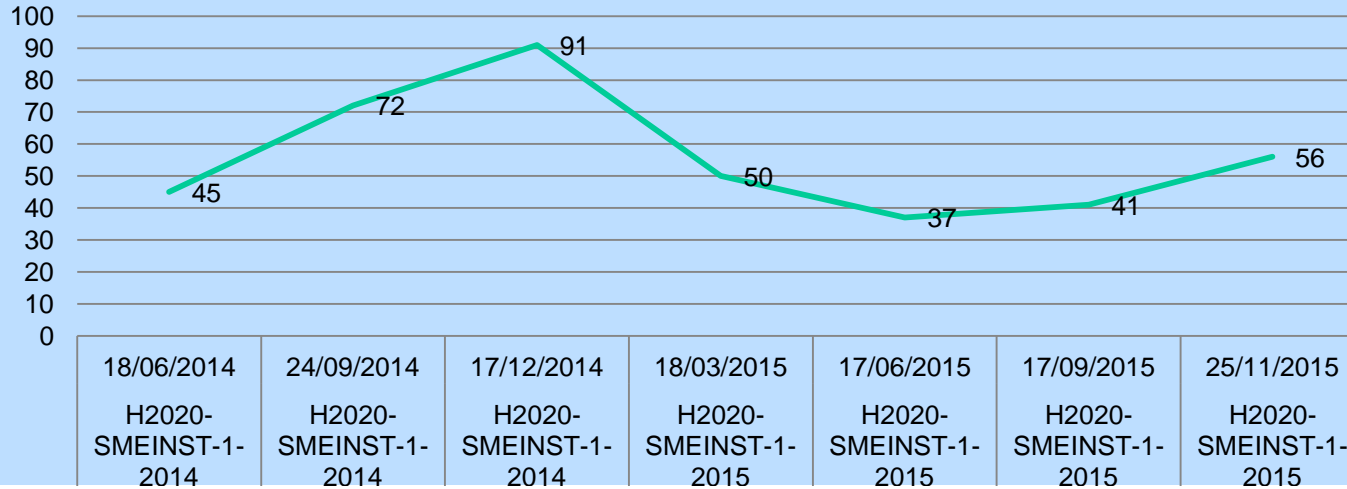
50 Supported SMEs
- 2 from Associated countries

Success rate of 8.8%

Country repartition: Malta, Slovenia, France, Finland and Austria

Time to Inform

TTX Time To Inform (Phase 1)

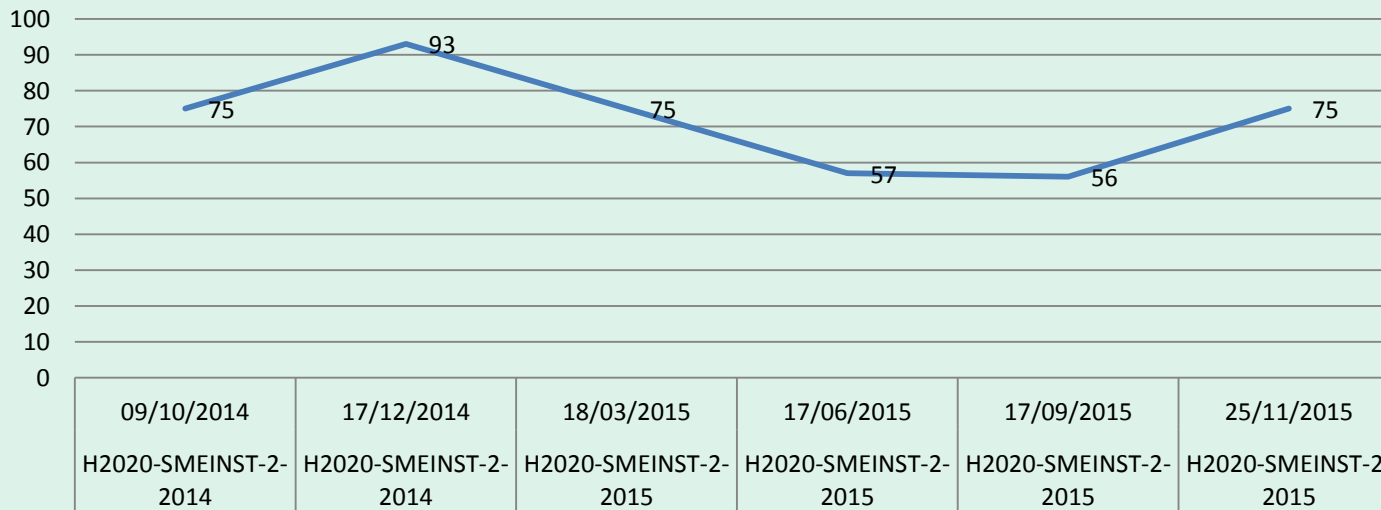


Average:

Ph1: 49 days

Ph2: 72 days

TTX Time To Inform (Phase 2)



Mobilisation and success across countries

Success rate per country over the years (1)

Country	PHASE 1			PHASE 2		
	Nr of proposals	Number of SMEs participating	Success rate	Nr of proposals	Number of SMEs participating	Success rate
Albania	6	6		1	1	
Anguilla	2	2		2	2	50.00%
Austria	185	195	11.28%	106	114	6.14%
Belgium	215	223	8.07%	106	118	1.69%
Bosnia and Herzegovina	11	11				
Bulgaria	396	419	0.95%	89	101	
Croatia	140	150	2.67%	27	29	
Cyprus	71	73	4.11%	23	25	4.00%
Czech Republic	189	198	2.53%	43	43	4.65%
Denmark	334	338	15.09%	195	227	8.37%
Estonia	186	198	13.64%	93	103	6.80%
Faroe Islands	4	4	25.00%	1	1	
Finland	391	411	9.73%	267	285	6.67%
Former Yugoslav Republic of Macedonia	22	22		9	11	
France	746	781	9.35%	590	633	5.37%
Germany	1042	1083	9.05%	580	652	6.60%
Greece	333	342	3.51%	166	177	2.82%
Hungary	742	782	3.20%	242	275	5.45%
Iceland	27	27	18.52%	17	17	5.88%
Ireland	235	236	14.83%	131	142	11.27%
Israel	307	309	12.94%	316	320	3.44%

Success rate per country over the years (2)

Country	PHASE 1			PHASE 2		
	Nr of proposals	Number of SMEs participating	Success rate	Nr of proposals	Number of SMEs participating	Success rate
Italy	3222	3595	7.26%	954	1276	4.23%
Latvia	143	145	2.76%	41	52	1.92%
Lithuania	126	127	8.66%	22	22	4.55%
Luxembourg	31	31	6.45%	13	13	7.69%
Malta	31	33	3.03%	22	22	4.55%
Moldova (Republic of)	14	15		4	4	
Montenegro	8	8				
Netherlands	590	624	9.78%	359	395	6.84%
Norway	212	220	12.27%	90	108	8.33%
Poland	811	842	4.28%	144	157	3.82%
Portugal	401	409	7.33%	108	109	1.83%
Romania	168	180	0.56%	40	42	
Serbia	70	71	4.23%	19	20	5.00%
Slovakia	198	204	3.43%	70	77	
Slovenia	480	532	5.26%	122	153	7.84%
Spain	2598	2694	10.73%	905	1011	8.01%
Sweden	330	338	14.20%	256	266	7.14%
Turkey	297	299	3.01%	74	85	4.71%
Ukraine	23	24	4.17%	11	11	
United Kingdom	1449	1504	12.70%	789	915	6.78%
Virgin Islands (British)	1	1				

Success rate per country over the years (3)

- 7,8% - proposals are funded (EU 28 average)
- < 12% of applying SMEs are funded (corrected for resubmissions)

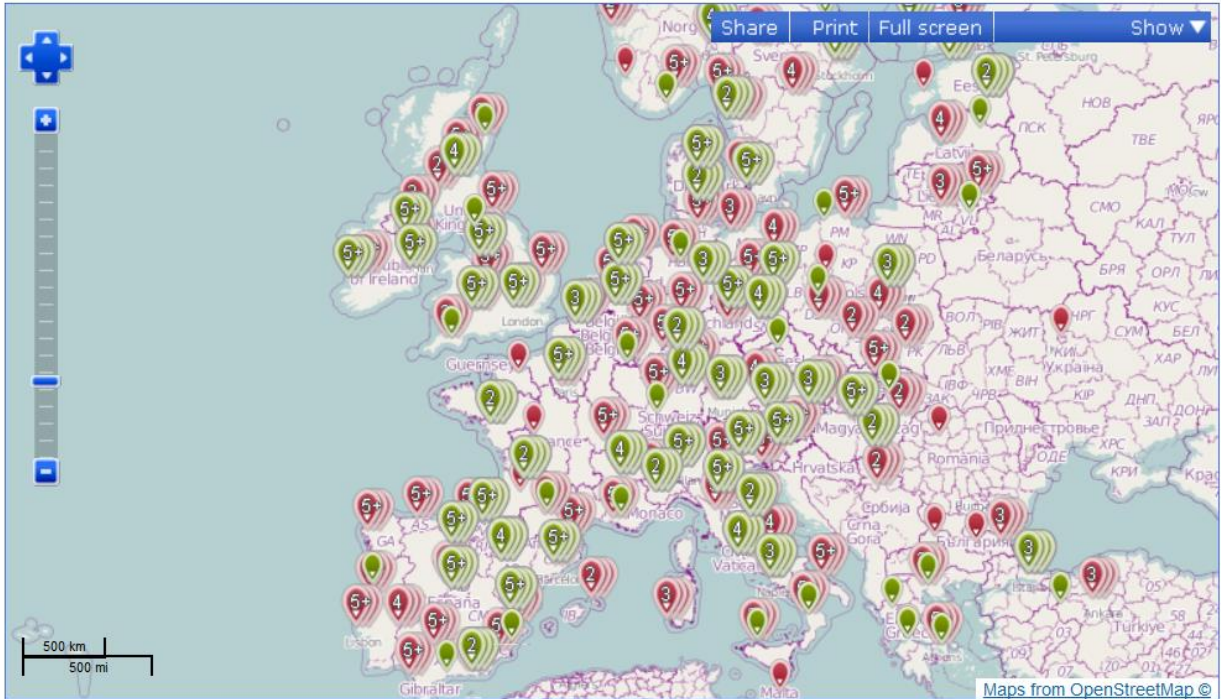
Examples of supported projects

<https://ec.europa.eu/easme/sme-instrument-beneficiaries>

Horizon 2020 SME Instrument beneficiaries

Last update: 17/02/2016

📍 Phase 1 | 📍 Phase 2



Lists of beneficiaries

- Phase 1
- Phase 2

Many exiting projects –
Some highlights picking
the 'right' companies

Challenges

Quality of the evaluation process

Implementation challenges

- Too fluctuating marks in resubmission
- Feedback to applicants (no 'consensus report' by evaluators)
- Too small differences between funded and unfunded proposals

Responses

- System designed to deal with very high number of proposals
- Less 1% formal complaints received
- Interviews for phase 2 are investigated

Seamless support from Phase1 to Phase2

All Phase 2 proposals of 2015

2015 Cut-offs	Directly to Phase 2		From granted Phase 1		Total Nr of 2015 proposals
	First Submission	Resubmission	First Submission	Resubmission	
18/03/2015	352	210	50	2	614
17/06/2015	371	458	104	29	962
17/09/2015	340	438	100	82	960
25/11/2015	404	389	183	114	1.090
Totals	1.467	1.495	437	227	3.626

Funded Phase 2 proposals of 2015

2015 Cut-offs	Directly to Phase 2		From granted Phase 1		Total Nr of funded proposals
	First Submission	Resubmission	First Submission	Resubmission	
18/03/2015	21 (6,0%)	9 (4,3%)	7 (14,0%)	0 (0,0%)	37
17/06/2015	7 (1,9%)	23 (5,0%)	12 (11,5%)	2 (6,9%)	44
17/09/2015	5 (1,5%)	16 (3,7%)	4 (4,0%)	8 (9,8%)	33
25/11/2015	5 (1,2%)	6 (1,5%)	8 (4,4%)	11 (9,6%)	30
Totals	38	54	31	21	144

Conclusion

- ➔ 47% of budget increase for 2016-2017 – Worth opportunities for higher number of SMEs selected in Phase 1 & 2
- ➔ Fast take-up and successful reach-out to various types of companies including young SMEs
- ➔ High mobilisation but low success in EU-13 except Estonia
Seal of excellence to mobilise ESIF & synergies

Thank you for your attention